

OTHER SERVICES – SCALE OF CHARGES

We are required to comply with the Rules of The Financial Conduct Authority and The London Stock Exchange when carrying out investment business. Our Scale of Charges should be read in conjunction with our Terms and Conditions of Business and Client Agreement. The charges set out are our standard rates and may be varied, but only if agreed prior to conducting the transaction.

General administration services (liable to VAT)

The following services will be charged at £15 per item*

- Transfer of Securities other than by contract
- Registration of Probate
- Replacement of lost certificates by indemnity
- Production of schedules of transactions carried out by us on your behalf, e.g. capital gains
- Schemes of Apportionment and Deeds of Arrangement
- Takeovers, Mergers and Reorganisations
- Change of Address – notification to registrars

* Any charges or taxes raised by a third party in connection with these services will be added to our fee.

We reserve the right to charge an additional fee for the reproduction of valuations, reports and other documents previously sent to you.

Tax return service

Taxation services and other advice will be charged as a fee on a time spent basis. The fee is liable to VAT.

Probate Valuation Fees (liable to VAT)

On first £100,000	0.2%
Balance over £100,000	0.1%
There is a minimum fee of £40 plus VAT.	

Example

For a probate valuation worth £150,000 the fee would be

£100,000 @ 0.2%	£200.00
£50,000 @ 0.1%	£50.00
Total probate valuation fee	£250.00 plus VAT

Settlement terms

Payment is to be made on receipt of the fee note.

In addition, we reserve the right to charge interest at 4% above the Bank of England Base Rate when an account has not been settled by payment of the amount due or the delivery of the stock when the proceeds have been used for re-investment.

Value Added Tax (VAT)

VAT will be added to charges, where applicable, at the standard rate ruling at the time of the transaction.